State of Maine
Recovery Housing Plan

Substantial Amendment
for FY 21 and FY 22 Allocations

Prepared by
Maine State Housing Authority
July 2022
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Program Summary

The purpose of the Recovery Housing Program (RHP) is to provide stable, temporary housing (up to 24 months) to low- or moderate-income persons in recovery from a substance use disorder. The State of Maine has been allocated a total of $2,745,940 in RHP funding from the US Department of Housing and Urban Development (HUD). Allocations have been awarded for FY 2020 to FY 2022 (FY 2020 - $951,000, FY 2021 - $860,778 and FY 2022 - $934,162). The RHP is authorized under Section 8071 of the SUPPORT for Patients and Communities Act and is a pilot program. Section 8071 requires RHP funds be treated as Community Development Block Grants (CDBG) funds, with certain waivers and alternative requirements. The State of Maine received RHP funding because it has an age-adjusted rate of drug overdose deaths above the national overdose mortality rate, according to the Centers for Disease Control and Prevention.

MaineHousing, in partnership with the Maine Department of Health and Human Service’s Office of Behavioral Health (OBH), has identified a need for additional housing for individuals exiting recovery residences, as well as individuals for who a recovery residence may not be an appropriate setting. RHP funds will be awarded by MaineHousing to one or more entities to produce, preserve and/or rehabilitate rental housing. Funds will be made available through an application process to ensure all HUD requirements are met. Applicants must demonstrate an ability to operate the housing and directly or indirectly provide recovery related services for participants. Operating and service funds are not provided with RHP funding. Suggested services include case management, direct connection to peer support, clinical addiction services, employment, vocational and educational opportunities, assistance with social, personal and living skills and mental health services.

Responsible Agencies

MaineHousing has been designated as the Recovery Housing Administrator and primary point of public contact for the Recovery Housing Plan. The Draft Recovery Housing Plan including the 2022 Substantial Amendment can be viewed and downloaded on the MaineHousing website.

Resources

MaineHousing will make available $2,608,643 of RHP funding (an RFP for FY2020 - $874,924 was released in early 2021). No program income or other federal program funding are expected to be available for RHP eligible activities.
Use of Funds

1. Awards to Communities: MaineHousing will not distribute all or part of the RHP funds directly to municipalities.

2. Activities Carried Out Directly: MaineHousing will distribute RHP funds through a competitive process by selecting applications that are submitted by eligible recipients. MaineHousing will issue a Request for Proposals to eligible applicants that will assign scoring based upon a combination of the required selection criteria located in FR-6225-N-01 and selection criteria adopted by MaineHousing. All activities identified in the Notice shall be eligible, MaineHousing will prioritize obligating funds for acquisition and rehabilitation of existing housing units, adaptive re-use of existing buildings, and new construction of rental housing units. MaineHousing will retain 5% ($137,297) of RHP funds for administrative costs.

3. Eligible Subrecipients: Eligible Subrecipients include not-for-profit organizations, including Indian Tribes. Applicants will need to demonstrate experience, or new capacity, to assist individuals in recovery to attain self-sufficiency and individual recovery goals. Additionally, eligible applicants must demonstrate capacity to comply with RHP program requirements as identified in Notice of FY2020 Allocations, Waivers, and Alternative Requirements for the Pilot Recovery Housing Program (Docket No. FR-6225-N-01) and Notice of FY2021 Allocations, Waivers, and Alternative Requirements for the Pilot Recovery Housing Program.

4. Criteria for Evaluation of Application and Applicants: MaineHousing will evaluate applications and applicants based on the two HUD-required criteria, which will be incorporated into additional MaineHousing criteria.

Criteria:

Extent to which the applicant will provide housing and services to vulnerable populations. (HUD Criteria One) Applicants will receive points for projects that serve the specific needs of vulnerable populations. The degree of need for the populations served, and appropriateness of plans for providing services to tenants, will be evaluated.

Applicants’ ability to obligate RHP funds in a timely manner. (HUD Criteria Two) Applicants will be evaluated on project readiness, including items like local approvals, architectural plans, and site control. Additionally, MaineHousing will consider their track-record for developing projects successfully within a reasonable timeframe.

Applicant’s ability to undertake required eligible development activities. Applicants will be evaluated on their development capacity, and experience with public sector housing development programs. Past experience of members of the development team will be considered.
Extent to which the application makes use of additional funding sources. Applicants will be evaluated on the quantity, quality and timeliness of leveraged funding (other than MaineHousing resources) that will be committed to the proposed project. Additional points may be awarded to projects that leverage a greater amount of non-federal funding.

Amount of Federal, State, or local rental assistance to ensure rents are affordable to extremely low-income families. Applicants will receive points for projects that include assistance from other entities, which may include rental-assistance or service contracts for the duration of the project reporting period.

Priority based upon underserved areas. MaineHousing will regard the entire State of Maine as the eligible area for purposes of the RHP. However, applicants will receive points for projects that will be developed in locations that are underserved.

Merits of the application in meeting the State’s housing priority needs. All applications will be required to address one or more of the following Consolidated Plan priorities: Improve and Preserve the Quality of Housing, Expand Affordable Housing Opportunities, and/or Help Maine People Attain Housing Stability.

**Definitions**

The following definitions apply to the MaineHousing RHP:

Individual in Recovery: OBH defines recovery from a substance use disorder as, “A process of change through which individuals improve their health and wellness, live a self-directed life, and strive to reach their full potential.”

For the purposes of this RFP, an individual in recovery shall be defined as an individual engaged in the process of change through which they seek to improve their health and wellness, live a self-directed life, and strive to reach their full potential.

Substance Use Disorder: OBH defines substance use disorder as the recurrent use of alcohol and/or drugs causing clinically significant impairment, including health problems, disability, and failure to meet major responsibilities at work, school or home.

For the purpose of this RFP, substance use disorder shall be defined as the recurrent use of alcohol and/or drugs causing clinically significant impairment, including health problems, disability, and failure to meet major responsibilities at work, school or home.

Recovery Housing is a non-medical setting designed to support recovery from substance use disorders, providing a substance-free living environment commonly used to help individuals
transition from highly structured residential treatment programs back into their day-to-day lives. Substance-free does not prohibit prescribed medications as directed by a licensed prescriber, such as pharmacotherapies specifically approved by the Food and Drug Administration for the treatment of opioid use disorder as well as other medications with the indication for the treatment of co-occurring disorders.

**Anticipated Outcomes and Expenditure Plan**

MaineHousing proposes the following performance measures:

3 Persons transitioned to permanent housing through RHP – assisted temporary housing
6 Individual assisted in RHP activities

These measures will be reported to HUD annually.

**Citizen Participation**

MaineHousing made the Recovery Housing Action Plan available for public comment for a period of 15 days from July 22, 2021 to August 10, 2021. A public hearing to solicit input on the Recovery Housing Program Plan was held August 3, 2021.

MaineHousing made the FY21/FY22 Substantial Amendment to the Recovery Housing Action Plan available for public comment for a period of 15 days beginning July 12, 2022 and ending on July 27, 2022. A public hearing to solicit input on the Recovery Housing Program Plan was held on July 26, 2022. No comments were received on the FY21/FY22 Substantial Amendment.

A summary of any comments or views not accepted and the reasons therefore will be attached to the Recovery Housing Action Plan.

**Partner Coordination**

MaineHousing will coordinate RHP funding with Maine Department of Health and Human Services’ Office of Behavioral Health (OBH). OBH manages State Opioid Response funding which aims to support recovery by increasing access to medication-assisted treatment and reducing unmet treatment needs through the support of evidence-based prevention, treatment and recovery support services. OBH also manages Substance Abuse Prevention and Treatment Block Grant funding, which provides funding with the objective to help plan, implement and evaluate activities that prevent and treat substance abuse.
**Subrecipient Management and Monitoring**

MaineHousing will use existing policies and procedures to monitor RHP subrecipients, ensuring that all activities are carried out in accordance with all applicable laws, regulations and policies.

All projects awarded RHP funding will be monitored, on an annual basis after completion and before closeout of the project. If any monitoring findings are identified, findings will be resolved before completion and/or closeout.

Prior to awarding funds MaineHousing staff will conduct activities associated with application review, environmental review, underwriting and construction document review. After funds have been awarded, MaineHousing staff will monitor construction process through on-site visits and meetings, budget and process via monthly requisitions and loan closing process.

After construction, and for the duration of the compliance period, MaineHousing will perform project monitoring to ensure compliance with loan documents.

**Pre-award/Pre-Agreement Cost:**

RHP funds may be used for pre-award/pre-agreement technical assistance and administrative costs of MaineHousing including application and technical assistance to potential subrecipients, development of the RHP Action Plan, to conduct the citizen participation process and related administrative costs.

**Certifications**

1. The grantee certifies that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the RHP program. The grantee certifies that it will comply with the residential anti-displacement and relocation assistance plan, relocation assistance, and one-for-one replacement housing requirements of section 104(d) of the Housing and Community Development Act of 1974, as amended (42 USC § 5304(d)) and implementing regulations at 24 CFR part 42, as applicable, except where waivers or alternative requirements are provided.

2. The grantee certifies its compliance with restrictions on lobbying required by 24 CFR part 87, together with disclosure forms, if required by part 87.

3. The grantee certifies that the RHP Action Plan is authorized under state and local law (as applicable) and that the grantee, and any entity or entities designated by the grantee, and any contractor, subrecipient, or designated public agency carrying out an activity with RHP funds, possess(es) the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations and the grant requirements. The grantee certifies that activities to be undertaken with RHP funds are consistent...
with its RHP Action Plan.

(4) The grantee certifies that it will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601 et seq.), and implementing regulations at 49 CFR part 24, except where waivers or alternative requirements are provided.


(6) The grantee certifies that it is following a citizen participation plan adopted pursuant to 24 CFR 91.115 or 91.105 (as imposed in notices for its RHP grant). Also, each unit of general local government receiving RHP assistance from a state must comply with the citizen participation requirements of 24 CFR 570.486(a)(1) through (a)(7) for proposed and actual uses of RHP funding (except as provided in Federal Register notices providing waivers and alternative requirements for the use of RHP funds).

(7) The grantee certifies that it is complying with each of the following criteria: (1) funds will be used solely for allowable activities to provide individuals in recovery from a substance use disorder stable, temporary housing for a period of not more than 2 years or until the individual secures permanent housing, whichever is earlier; (2) with respect to activities expected to be assisted with RHP funds, the RHP Action Plan has been developed so as to give the maximum feasible priority to activities that will benefit low- and moderate-income individuals and families; (3) the aggregate use of RHP funds shall principally benefit low- and moderate-income families in a manner that ensures the grant amount is expended for activities that benefit such persons; and (4) the grantee will not attempt to recover any capital costs of public improvements assisted with RHP grant funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless: (a) RHP grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than RHP; or (b) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient RHP funds (in any form, including program income) to comply with the requirements of clause (a).

(8) The grantee certifies that the grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations, and that it will affirmatively further fair housing.

(9) The grantee certifies that it has adopted and is enforcing the following policies, and, in addition, must certify that it will require local governments that receive grant funds to certify that they have adopted and are enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its
jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and (2) a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location that is the subject of such nonviolent civil rights demonstrations within its jurisdiction.

(10) The grantee certifies that the grant will be conducted and administered in conformity with the requirements of the Religious Freedom Restoration Act (42 U.S.C. 2000bb) and 24 CFR 5.109, allowing the full and fair participation of faith-based entities.

(11) The grantee certifies that it (and any subrecipient or administering entity) currently has or will develop and maintain the capacity to carry out RHP eligible activities in a timely manner and that the grantee has reviewed the requirements of the grant.

(12) The grantee certifies that its activities concerning lead-based paint will comply with the requirements of HUD's lead-based paint rules (Lead Disclosure; and Lead Safe Housing (24 CFR part 35)), and EPA's lead-based paint rules (e.g., Repair, Renovation and Painting; Pre-Renovation Education; and Lead Training and Certification (40 CFR part 745)).

(13) The grantee certifies that it will comply with environmental review procedures and requirements at 24 CFR part 58.

(14) The grantee certifies that it will comply with applicable laws.
Standard Form 424 and 424D, Signed RHP Certifications, Notices
Recovery Housing Program Certifications

Each grantee must make the following certifications with its RHP Action Plan:

1. The grantee certifies that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the RHP program. The grantee certifies that it will comply with the residential anti-displacement and relocation assistance plan, relocation assistance, and one-for-one replacement housing requirements of section 104(d) of the Housing and Community Development Act of 1974, as amended (42 USC § 5304(d)) and implementing regulations at 24 CFR part 42, as applicable, except where waivers or alternative requirements are provided.

2. The grantee certifies its compliance with restrictions on lobbying required by 24 CFR part 87, together with disclosure forms, if required by part 87.

3. The grantee certifies that the RHP Action Plan is authorized under state and local law (as applicable) and that the grantee, and any entity or entities designated by the grantee, and any contractor, subrecipient, or designated public agency carrying out an activity with RHP funds, possess(es) the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations and the grant requirements. The grantee certifies that activities to be undertaken with RHP funds are consistent with its RHP Action Plan.

4. The grantee certifies that it will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601 et seq.), and implementing regulations at 49 CFR part 24, except where waivers or alternative requirements are provided.

5. The grantee certifies that it will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR part 75.

6. The grantee certifies that it is following a citizen participation plan adopted pursuant to 24 CFR 91.115 or 91.105 (as imposed in notices for its RHP grant). Also, each unit of general local government receiving RHP assistance from a state must comply with the citizen participation requirements of 24 CFR 570.486(a)(1) through (a)(7) for proposed and actual uses of RHP funding (except as provided in Federal Register notices providing waivers and alternative requirements for the use of RHP funds).

7. The grantee certifies that it is complying with each of the following criteria: (1) funds will be used solely for allowable activities to provide individuals in recovery from a substance use disorder stable, temporary housing for a period of not more than 2 years or until the individual secures permanent housing, whichever is earlier; (2) with respect to activities expected to be assisted with RHP funds, the RHP Action Plan has been...
developed so as to give the maximum feasible priority to activities that will benefit low- and moderate-income individuals and families; (3) the aggregate use of RHP funds shall principally benefit low- and moderate-income families in a manner that ensures the grant amount is expended for activities that benefit such persons; and (4) the grantee will not attempt to recover any capital costs of public improvements assisted with RHP grant funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless: (a) RHP grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than RHP; or (b) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient RHP funds (in any form, including program income) to comply with the requirements of clause (a).

(8) The grantee certifies that the grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations, and that it will affirmatively further fair housing.

(9) The grantee certifies that it has adopted and is enforcing the following policies, and, in addition, must certify that it will require local governments that receive grant funds to certify that they have adopted and are enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and (2) a policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location that is the subject of such nonviolent civil rights demonstrations within its jurisdiction.

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(13) The grantee certifies that it will comply with environmental review procedures and requirements at 24 CFR part 58.
(14) The grantee certifies that it will comply with applicable laws.

[Signature]

Date: 07/28/2022

Director

Title
Application for Federal Assistance SF-424

1. Type of Submission: □ Preapplication □ Application □ Changed/Corrected Application

2. Type of Application: □ New □ Continuation □ Revision

* 3. Date Received: 

4. Applicant Identifier: 

5a. Federal Entity Identifier: 

5b. Federal Award Identifier: B-22-RH-23-0001

State Use Only:

6. Date Received by State: 

7. State Application Identifier: 

8. APPLICANT INFORMATION:

* a. Legal Name: Maine State Housing Authority

* b. Employer/Taxpayer Identification Number (EIN/TIN): 01-0312916

* c. UEI: NJEQK2U8ZJS

d. Address:

* Street1: 26 Edison Drive

Street2: 

* City: Augusta

County/Parish: 

* State: ME: Maine

Province: 

* Country: USA: UNITED STATES

* Zip / Postal Code: 04330-4633

e. Organizational Unit:

Department Name: Maine State Housing Authority

Division Name: 

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Mr. 

* First Name: Daniel

Middle Name: 

* Last Name: Brennan

Suffix: 

Title: Director

Organizational Affiliation: 

* Telephone Number: 207 626-4600

Fax Number: 207 624-5702

* Email: dbrennan@mainehousing.org
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<td><strong>10. Name of Federal Agency:</strong></td>
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<td>US Department of Housing and Urban Development</td>
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<td><strong>11. Catalog of Federal Domestic Assistance Number:</strong></td>
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<td><strong>14. Areas Affected by Project (Cities, Counties, States, etc.):</strong></td>
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<td><strong>15. Descriptive Title of Applicant's Project:</strong></td>
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<td>Attach supporting documents as specified in agency instructions.</td>
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Application for Federal Assistance SF-424

16. Congressional Districts Of:
   * a. Applicant  
   * b. Program/Project  

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
   * a. Start Date:  
   * b. End Date:  

18. Estimated Funding ($):
   * a. Federal  
   * b. Applicant  
   * c. State  
   * d. Local  
   * e. Other  
   * f. Program Income  
   * g. TOTAL  

19. Is Application Subject to Review By State Under Executive Order 12372 Process?
   o a. This application was made available to the State under the Executive Order 12372 Process for review on.
   o b. Program is subject to E.O. 12372 but has not been selected by the State for review.
   o c. Program is not covered by E.O. 12372.

20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
   o Yes  
   o No  

If "Yes", provide explanation and attach

21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:  
* First Name: Daniel  
Middle Name:  
* Last Name: Brennan  
Suffix:  
* Title: Director  

* Telephone Number: 207 626-4600  
Fax Number: 207 624-5702  
* Email: dbrennan@mainehousing.org  

* Signature of Authorized Representative:  
* Date Signed: 7/28/2022
Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.

4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.

5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.

6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681, 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.


14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

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19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect, (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

APPLICANT ORGANIZATION
Maine State Housing Authority

TITLE
Director

DATE SUBMITTED
07/28/2022
### Application for Federal Assistance SF-424

<table>
<thead>
<tr>
<th><em>1. Type of Submission:</em></th>
<th><em>2. Type of Application:</em></th>
<th><em>If Revision, select appropriate letter(s):</em></th>
</tr>
</thead>
<tbody>
<tr>
<td>Preaplication</td>
<td>New</td>
<td></td>
</tr>
<tr>
<td>Application</td>
<td>Continuation</td>
<td>* Other (Specify):</td>
</tr>
<tr>
<td>Changed/Corrected Application</td>
<td>Revision</td>
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<table>
<thead>
<tr>
<th><em>3. Date Received:</em></th>
<th>4. Applicant Identifier:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>5a. Federal Entity Identifier:</th>
<th>5b. Federal Award Identifier:</th>
</tr>
</thead>
</table>

**State Use Only:**

<table>
<thead>
<tr>
<th>6. Date Received by State:</th>
<th>7. State Application Identifier:</th>
</tr>
</thead>
</table>

#### 8. APPLICANT INFORMATION:

<table>
<thead>
<tr>
<th><em>a. Legal Name:</em></th>
<th>Maine State Housing Authority</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>*b. Employer/Taxpayer Identification Number (EIN/TIN):</th>
<th><em>c. UEI:</em></th>
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</thead>
<tbody>
<tr>
<td>01-0312916</td>
<td>NJEKQ2U8ZJS</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><em>d. Address:</em></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Street1: 26 Edison Drive</th>
</tr>
</thead>
<tbody>
<tr>
<td>Street2:</td>
</tr>
<tr>
<td><em>City:</em> Augusta</td>
</tr>
<tr>
<td>County/Parish:</td>
</tr>
<tr>
<td><em>State:</em> ME: Maine</td>
</tr>
<tr>
<td>Province:</td>
</tr>
<tr>
<td><em>Country:</em> USA: UNITED STATES</td>
</tr>
<tr>
<td><em>Zip / Postal Code:</em> 04330-4633</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><em>e. Organizational Unit:</em></th>
</tr>
</thead>
</table>

| Department Name: Maine State Housing Authority |
| Division Name:                                 |

<table>
<thead>
<tr>
<th><em>f. Name and contact information of person to be contacted on matters involving this application:</em></th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Prefix: Mr.</th>
<th><em>First Name:</em> Daniel</th>
</tr>
</thead>
<tbody>
<tr>
<td>Middle Name:</td>
<td></td>
</tr>
<tr>
<td>Last Name: Brennan</td>
<td></td>
</tr>
<tr>
<td>Suffix:</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Title: Director</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Organizational Affiliation:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th><em>Telephone Number:</em> 207 626-4600</th>
<th>Fax Number: 207 624-5702</th>
</tr>
</thead>
</table>

<p>| <em>Email:</em> <a href="mailto:dbrennan@mainehousing.org">dbrennan@mainehousing.org</a> | |</p>
<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>9. Type of Applicant 1: Select Applicant Type:</strong></td>
<td>A: State Government</td>
</tr>
<tr>
<td>Type of Applicant 2: Select Applicant Type:</td>
<td></td>
</tr>
<tr>
<td>Type of Applicant 3: Select Applicant Type:</td>
<td></td>
</tr>
<tr>
<td>* Other (specify):</td>
<td></td>
</tr>
<tr>
<td><strong>10. Name of Federal Agency:</strong></td>
<td>US Department of Housing and Urban Development</td>
</tr>
<tr>
<td><strong>11. Catalog of Federal Domestic Assistance Number:</strong></td>
<td></td>
</tr>
<tr>
<td>CFDA Title:</td>
<td>HI-00515R of 20515R</td>
</tr>
<tr>
<td><strong>12. Funding Opportunity Number:</strong></td>
<td></td>
</tr>
<tr>
<td>* Title:</td>
<td></td>
</tr>
<tr>
<td><strong>13. Competition Identification Number:</strong></td>
<td></td>
</tr>
<tr>
<td>Title:</td>
<td></td>
</tr>
<tr>
<td>**14. Areas Affected by Project (Cities, Counties, States, etc.):</td>
<td></td>
</tr>
<tr>
<td>Attach supporting documents as specified in agency instructions.</td>
<td></td>
</tr>
<tr>
<td><strong>15. Descriptive Title of Applicant's Project:</strong></td>
<td></td>
</tr>
<tr>
<td>Attach supporting documents as specified in agency instructions.</td>
<td></td>
</tr>
</tbody>
</table>
## Application for Federal Assistance SF-424

### 16. Congressional Districts Of:
- **a. Applicant**: [ ]
- **b. Program/Project**: [ ]

Attach an additional list of Program/Project Congressional Districts if needed.

### 17. Proposed Project:
- **a. Start Date**: [ ]
- **b. End Date**: [ ]

### 18. Estimated Funding ($):

<table>
<thead>
<tr>
<th>*a. Federal</th>
<th>860,778.00</th>
</tr>
</thead>
<tbody>
<tr>
<td>*b. Applicant</td>
<td></td>
</tr>
<tr>
<td>*c. State</td>
<td></td>
</tr>
<tr>
<td>*d. Local</td>
<td></td>
</tr>
<tr>
<td>*e. Other</td>
<td></td>
</tr>
<tr>
<td>*f. Program Income</td>
<td></td>
</tr>
<tr>
<td>*g. TOTAL</td>
<td>860,778.00</td>
</tr>
</tbody>
</table>

### 19. Is Application Subject to Review By State Under Executive Order 12372 Process?
- [ ] a. This application was made available to the State under the Executive Order 12372 Process for review on
- [ ] b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- [ ] c. Program is not covered by E.O. 12372.

### 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)
- [ ] Yes
- [ ] No

If "Yes", provide explanation and attach

### 21. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

### Authorized Representative:

<table>
<thead>
<tr>
<th><strong>Prefix</strong>:</th>
<th>Mr.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>First Name</strong>:</td>
<td>Daniel</td>
</tr>
<tr>
<td><strong>Middle Name</strong>:</td>
<td>E.</td>
</tr>
<tr>
<td><strong>Last Name</strong>:</td>
<td>Brennan</td>
</tr>
<tr>
<td><strong>Suffix</strong>:</td>
<td></td>
</tr>
<tr>
<td><strong>Title</strong>:</td>
<td>Director</td>
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<td><strong>Telephone Number</strong>:</td>
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<td><a href="mailto:dbrennan@mainehousing.org">dbrennan@mainehousing.org</a></td>
</tr>
<tr>
<td><strong>Signature of Authorized Representative</strong>:</td>
<td>[Signature]</td>
</tr>
<tr>
<td><strong>Date Signed</strong>:</td>
<td>7/28/2022</td>
</tr>
</tbody>
</table>
Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant:, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.

4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.

5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.

6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.

10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681, 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§2290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

Director

APPLICANT ORGANIZATION
Maine State Housing Authority

DATE SUBMITTED
07/28/2022
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Caribou has had small successes in recent years, as evidenced by multiple economic development directors moving beyond their normal duties. For the city and its tourism industry, Thompson credits that success to how frequent visitors and those in the tourism industry credit that success to how it was

PREVIOUS PAGE

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PREVIOUS PAGE

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PREVIOUS PAGE

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Education

**SOUTHERN MAINE STATE WRAITER**

**COMING SOON!**

**Southern Maine Technical High School**

**Traditional Education**:

**Tuition**:

- **2023 - 2024**: $17,660

- **Flexible Tuition** available.

**Full-time and PT-time options**

**Outcomes**

- **Employment**: Learn practical skills for in-demand careers.
- **Entrepreneurship**: Start your own business.
- **Further Education**: Prepare for advanced degrees.

**Contact**

**SOUTHERN MAINE**

**Address**: 32 North Street, Portland, ME 04101

**Phone**: (207) 774-5900

**Email**: info@smtech.edu

**Website**: smtech.edu

**SOUTH MAINE STATE WRAITER**

**GENERAL SUPPORT**

**HOURS**: 8:30 AM - 4:30 PM

**Office**: 32 North Street, Portland, ME 04101

**Phone**: (207) 774-5900

**Email**: info@smtech.edu

**Website**: smtech.edu
**NOTICE OF PUBLIC COMMENT AND DRAFT AVAILABILITY RECOVERY HOUSING PLAN**

The Maine State Housing Authority is drafting the 2021-2022 Recovery Housing Plan (RHP) and is seeking comments on the plan prior to its finalization.

**Purpose**

The purpose of this notice is to inform the public that the Maine State Housing Authority (MSHA) is drafting the 2021-2022 Recovery Housing Plan (RHP) and is seeking comments on the plan prior to its finalization.

**Public Comment Period**

The public comment period will run from July 26, 2022, to August 29, 2022.

**How to Comment**


**Public Comment**

The public comment period will run from July 26, 2022, to August 29, 2022. Written comments may be submitted via email to recoveryhousing@mainehousing.org.

**Availability of the Plan**

The Draft Recovery Housing Plan is available for public review and comment on the Maine Housing website at https://www.maine.gov/msha/programs/recoveryhousing.

**Contact Information**

For more information, please contact Amanda MacGregor, Recovery Housing Program Manager at Amanda.macgregor@mainehousing.org or (207) 287-6750.

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**Public Notices**

**Public Notices** are a permanent and independent record of government and court actions. Notices inform the public of court orders, state and federal government meetings, rule making, available contracts, and many more, as required by law. In addition, parties to some court proceedings, such as foreclosures, probate, and estate actions are required to publish notices to ensure notification of affected parties, as well as the general public. These notices also alert business owners, large and small, to potential government contracts and available contracts, and are often required by law to be published in a specific local newspaper that anyone can browse or search notices, and sign up to receive email alerts when relevant notices open.